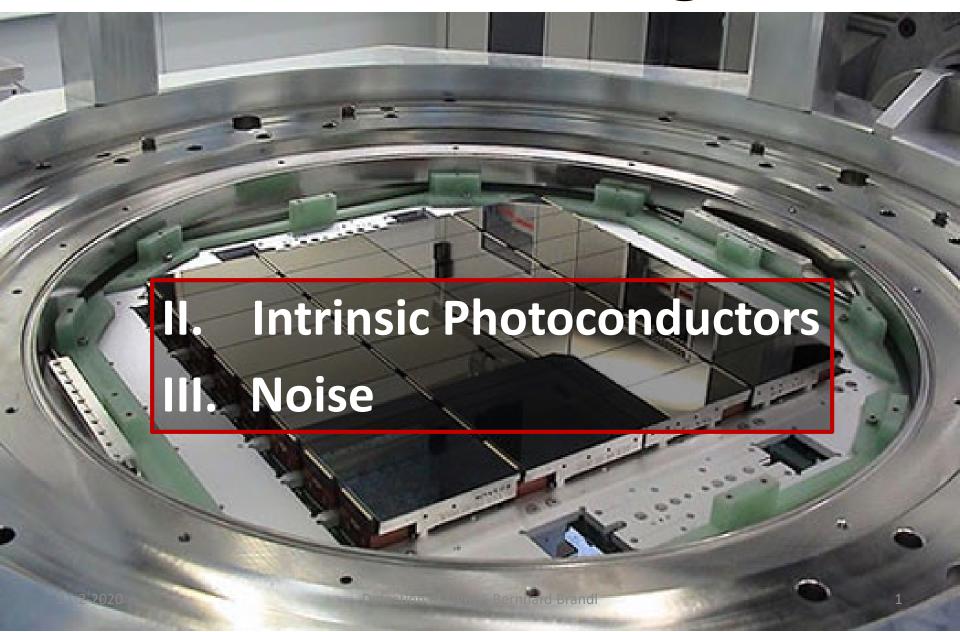
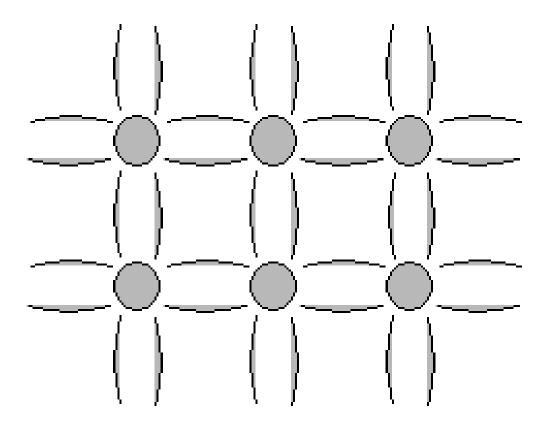
## **Detection of Light**



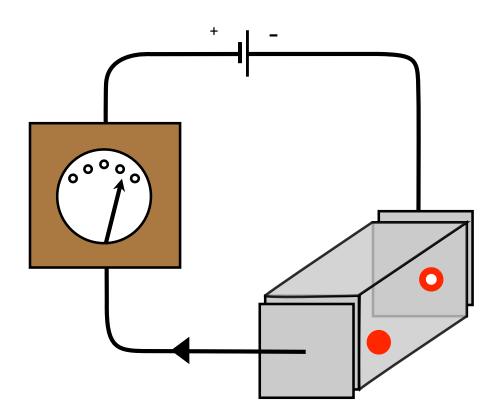
# Fundamental Principle

### Basic Principle – Physics

 ${ t E}_{
m \gamma}$  lifts  ${ t e}^{ t -}$  from valence into conduction band:  $E_{\gamma}=rac{hc}{\lambda}>E_{bandgap}$ 



### Basic Principle – Realization



Applying an electric field causes electric charges to move in the material and register a signal as an electric current.

### **Practical Limitations**

Wavelength cutoffs:

$$\lambda_c = \frac{hc}{E_g}$$

 $\rightarrow$  Germanium (0.67 eV): 1.85µm

 $\rightarrow$  Silicon (1.14 eV): 1.12 $\mu$ m

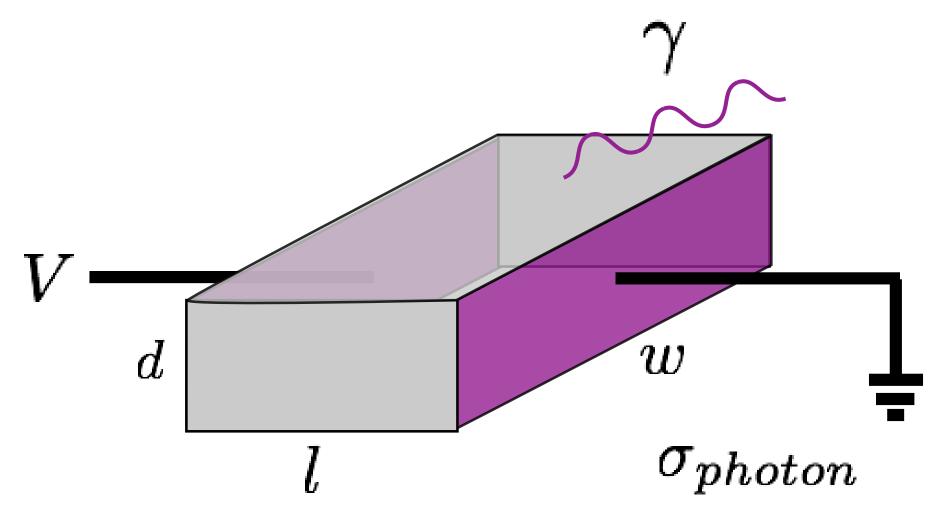
→ GaAs (1.43 eV):  $0.87\mu m$ 

- Cleanliness and non-uniformity of material
- Problems to make good electrical contacts to pure Si
- Charge carriers are generated by both photons and thermal excitation ("dark current"). We only measure the electrical conductivity and cannot distinguish.

# Basic Electric Properties

### Schematics of a Detector

Consider a pixel with physical dimensions d, l, w:



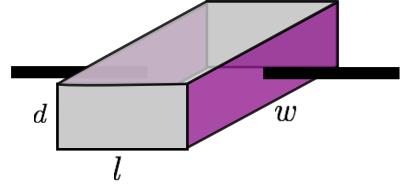
### Resistivity, Resistance, and Conductivity

Resistance R [ $\Omega$ ] = measure of a material's opposition to the flow of electric current

$$R \equiv \frac{U}{I}$$

Resistivity  $\rho$  [  $\Omega$  m ] = intrinsic material property to oppose the flow of an electric current.

$$R = \frac{\rho \cdot l}{A} = \frac{\rho \cdot l}{d \cdot w}$$



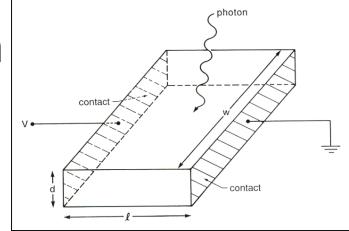
$$\rightarrow$$
 Resistivity: 
$$\rho = R \cdot \frac{d \cdot w}{l}$$

Conductivity  $\sigma$  is the inverse of the resistivity:

$$\sigma = rac{1}{
ho}$$

# Deriving the "Photo-Current" (1)

## Conductivity $\sigma \iff Photon$ Flux



Ohm's law: 
$$R_d = \frac{V_b}{I_d}$$

The conductivity  $\sigma[\Omega^{-1} \text{ cm}^{-1}]$  is related to  $R_d$  via:  $\sigma = \frac{1}{R_d} \frac{l}{A} \Rightarrow R_d = \frac{1}{\sigma} \frac{l}{wd}$ 

$$\sigma = \frac{1}{R_d} \frac{l}{A} \Rightarrow R_d = \frac{1}{\sigma} \frac{l}{wd}$$

where:  $\sigma = \sigma_{th} + \sigma_{ph} \approx \sigma_{ph}$  (`th' denotes the thermal "dark" current)

The current density is:

$$J_x = q_c n_0 \langle v_x \rangle$$

where  $q_c$  is the electrical charge and  $n_o$  the carrier density

But also:

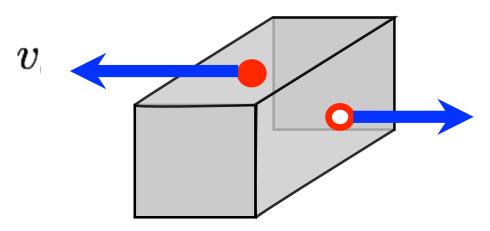
$$J_{x} = \frac{I}{A} = \frac{I_{d}}{wd} = \frac{V_{b}}{R_{d}wd} = \frac{\sigma V_{b}}{l} = \sigma E_{x}$$

and we get:

$$q_c n_0 \langle v_x \rangle = \sigma E_x$$

# Electron<br/>Mobility

### **Electron Mobility**



Mean drift velocity  $\langle v_x \rangle$  [m/s] depends on the electric field E [V/m] and the electron mobility  $\mu$  [cm<sup>2</sup>/(Vs)]:

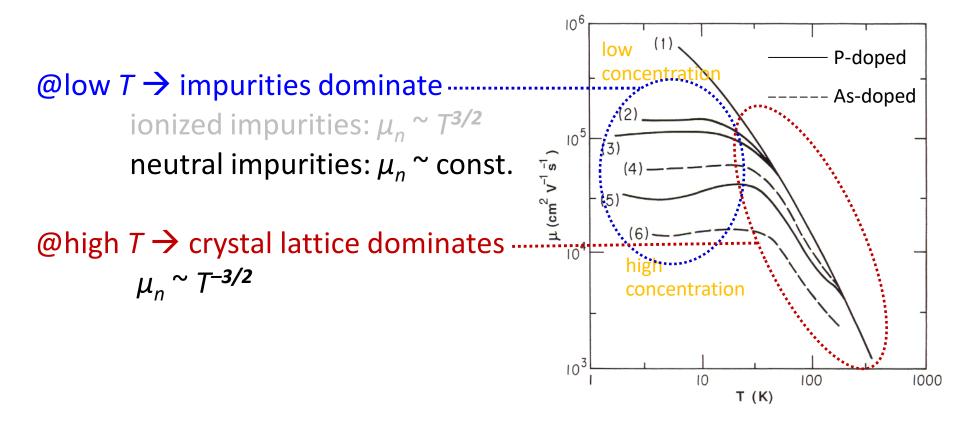
$$\langle v_{\chi} \rangle = \mu E_{\chi}$$

With that, and  $q_c n_0 \langle v_x \rangle = \sigma E_x$ , we can calculate the conductivity  $\sigma$ :

$$\sigma = \frac{q_c = -q - q n_0 \langle v_x \rangle}{E_x} = q n_0 \mu_n$$

### Electron Mobility = f{T}

Mobility ~ mean time between collisions



Astronomical detectors usually operate in the low T regime.

### Typical Electron Mobility Numbers

Carrier mobilities at room temperature, in cm<sup>2</sup>/V-s Electrons Holes Crystal Crystal Electrons Holes Diamond 1800 1200 GaAs 8000 300 Si GaSb 1350 480 5000 1000 Ge 3600 1800 PbS 550 600 InSb 800 450 PbSe 1020 930 InAs 30000 450 PbTe 2500 1000 InP 4500 100 AgCl 50

Generally, holes are less mobile than electrons, but there are exceptions.

KBr (100 K)

SiC

100

100

AlAs

AlSb

280

900

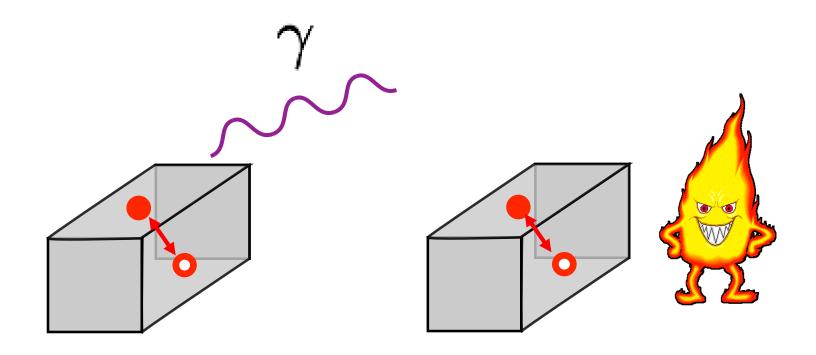
400

10 - 20

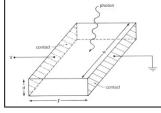
# Deriving the "Photo-Current" (2)

### Mean Lifetime for the Charge Carriers

Eventually, the electrons and holes recombine after a mean lifetime  $\tau$ , releasing the energy either as heat or light.



### Conductivity $\sigma \Leftrightarrow Photon Flux$



To the total conductivity, both electrons and holes contribute:

$$\sigma_{ph} = q(\mu_n n + \mu_p p)$$

(n and p are the negative and positive charge carrier concentrations)

Now, consider the incoming photon flux  $\phi$  [y/s]

 $\rightarrow$  The number of charge carriers in equilibrium is  $\varphi \eta \tau$ , where  $\eta$  is the quantum efficiency, and  $\tau$  is the mean lifetime before recombination. Typically,  $\tau^{\sim}$  (impurity concentration)<sup>-1</sup>

Number of charge carriers per unit volume:  $n = p = \frac{\varphi \eta \iota}{wdl}$ 

$$n = p = \frac{\varphi \eta \tau}{wdl}$$

Hence, the resistance is:

$$R_{d} = \frac{1}{\sigma} \frac{l}{wd} = \frac{1}{q(\mu_{n}n + \mu_{p}p)wd} = \frac{1}{q(\mu_{n} + \mu_{p})} \frac{wdl}{\varphi \eta \tau} \frac{l}{wd} = \frac{l^{2}}{q(\mu_{n} + \mu_{p})\varphi \eta \tau}$$

# Photoconductive Gain, Quantum Efficiency &

Responsivity

### The Photoconductive Gain (1)

(1) Time for an e<sup>-</sup> to drift from one electrode to the other:  $\tau_t = -\frac{l}{\langle v_x \rangle}$ 

(2) Recall the electron mobility: 
$$\mu = -\frac{\langle v_x \rangle}{E_x}$$

Combining (1) and (2) yields: 
$$\tau_t = \frac{l}{\mu E_x}$$

$$ightharpoonup$$
 Define the photoconductive gain:  $G \equiv \frac{\tau}{\tau_t} = \frac{\tau \cdot \mu E_x}{l}$ 

where  $\tau$  is the mean carrier lifetime before recombination.

→ The photoconductive gain is the <u>ratio of carrier lifetime to</u> <u>carrier transit time</u>.

### The Photoconductive Gain (2)

G quantifies the probability that a generated charge carrier will traverse the extent of the detector <u>and</u> reach an electrode.

The observed/detected photo current gets degraded by a factor:

$$G = \frac{\tau}{\tau_t}$$

 $G << 1 \Leftrightarrow \tau_t >> \tau \Leftrightarrow$  charge carriers recombine before reaching an electrode  $G \sim 1 \Leftrightarrow \tau_t \sim \tau \Leftrightarrow$  all charge carriers are likely to reach an electrode is possible if charge multiplication occurs.

### The Photoconductive Gain (3)

How can we optimize the gain *G*?

- make detector as this as possible
- increase the bias voltage (E<sub>x</sub>)
- eliminate defects and impurities

But optimizing G is not sufficient, as the quantum efficiency  $\eta$  plays an important role, too.

The product  $\eta G$  describes the probability that an incoming photon will produce an electric charge that will penetrate to an electrode.

### Quantum Efficiency

The quantum efficiency  $\eta$  is the percentage of photons hitting the detector surface that will produce an electron—hole pair.

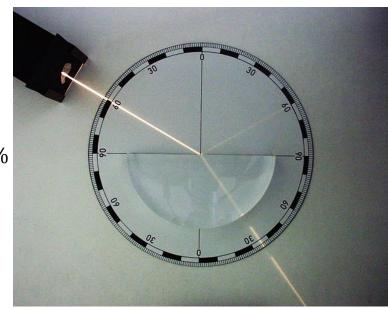
Clear definition but difficult to measure.

#### $\eta$ can be reduced by...:

1. reflection losses at the surface,

$$R = \frac{(n-1)^2}{(n+1)^2} \qquad R_{Ge} = \frac{(4-1)^2}{(4+1)^2} = \frac{9}{25} = 36\%$$

2. loss of photons that cross the detector material without interaction.



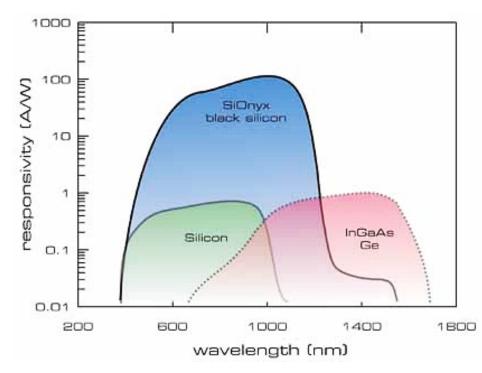
### Responsivity

The responsivity *S* is the ratio between electrical signal at the detector output and incoming photon power.

Less elegant definition, but easy accessible by measurement.

$$S = \frac{\text{electrical output signal}}{\text{input photon power}}$$

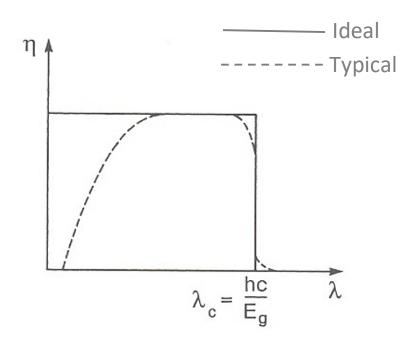
$$S = G \frac{q \eta \lambda}{hc}$$

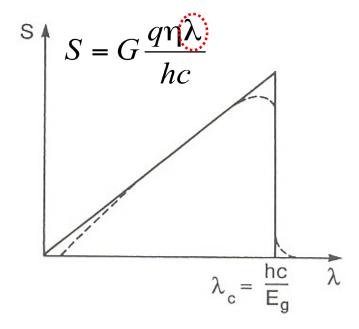


### Quantum Efficiency Responsivity

The quantum efficiency  $\eta$  is independent of wavelength up to the cutoff at  $\lambda_c$ :

The responsivity *S* increases proportionally to the wavelength:





# Deriving the "Photo-Current" (3)

### Conductivity $\sigma \Leftrightarrow Photon Flux$

electrical output signal

Responsivity 
$$S \equiv$$

input photon power

The "photon power" falling on the detector is:  $P_{ph} = \varphi h v = \frac{\varphi h c}{\lambda}$ 

Photoconductive Gain 
$$G \equiv \frac{\tau \mu E_x}{l}$$
  $\tau \cdot \mu / l = \text{lifetime} \times \text{mobility / pathlength}$   $E_x = \text{"amplifying" electric field}$ 

The responsivity S then becomes:

$$S = \frac{I_{ph}}{P_{ph}} \stackrel{Ohm}{=} \frac{V_b}{R_{ph}P_{ph}} \stackrel{E=V/l}{=} \frac{E_x l}{R_{ph}P_{ph}} = \frac{E_x l}{l^2} q \varphi \eta \tau (\mu_n + \mu_p) \frac{\lambda}{\varphi hc} = G \frac{q \eta \lambda}{hc}$$

This yields the photo current: 
$$I_{ph} = \frac{\eta \lambda qG}{hc} P_{ph} = \eta q \varphi G$$

$$R_d = \frac{l^2}{q(\mu_n + \mu_p)\varphi \eta \tau}$$

$$R_d = \frac{l^2}{q(\mu_n + \mu_p)\varphi\eta\tau}$$

## Refresher: Noise Distributions

### Gaussian Distribution (1)

Gaussian noise is the noise following a Gaussian (normal) distribution:

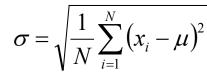
$$S = \frac{1}{\sqrt{2\pi\sigma}} \exp\left[-\frac{(x-\mu)^2}{2\sigma^2}\right]$$

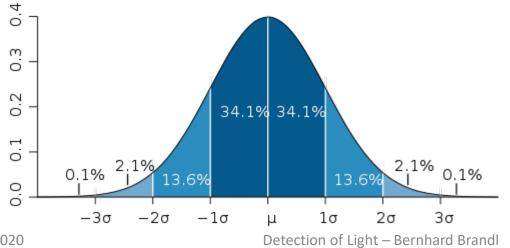
It is often (incorrectly) called white noise, which refers to the uncorrelation of the noise.

x is the actual value

 $\mu$  is the mean of the distribution

 $\boldsymbol{\sigma}$  is the standard deviation of the distribution

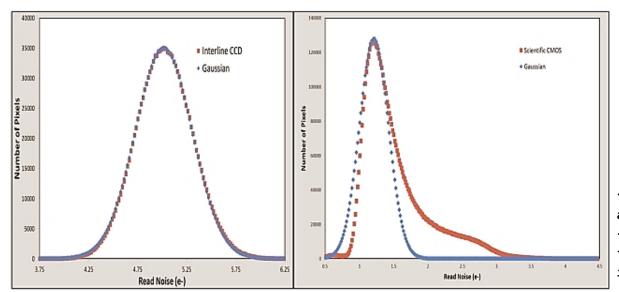


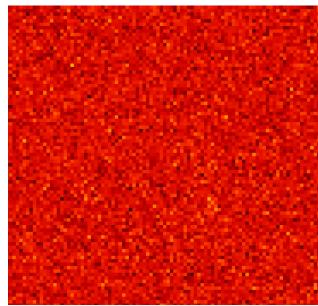


21-2-2020

### Gaussian Distribution (2)

Example: detector "dark frame" (readout without illumination) ->





← from: http://www.microscopyanalysis.com/editorials/editorial -listings/digital-cameratechnologies-scientific-bioimaging-part-3-noise-and

Usually the dark frames show Gaussian behavior – but not always, in case of other, systematic noise sources (dead pixels, warm electrodes, etc. )

### Poissonian Distribution (1)

Poisson noise is the noise following a Poissonian distribution:

$$P(k,\lambda) = \frac{\lambda^k e^{-\lambda}}{k!}$$

k is the number of occurrences of an event (probability)  $\lambda$  is the *expected* number of occurrences

 $P(k,\lambda)$  expresses the probability of a number of events occurring in a fixed period of time, provided that:

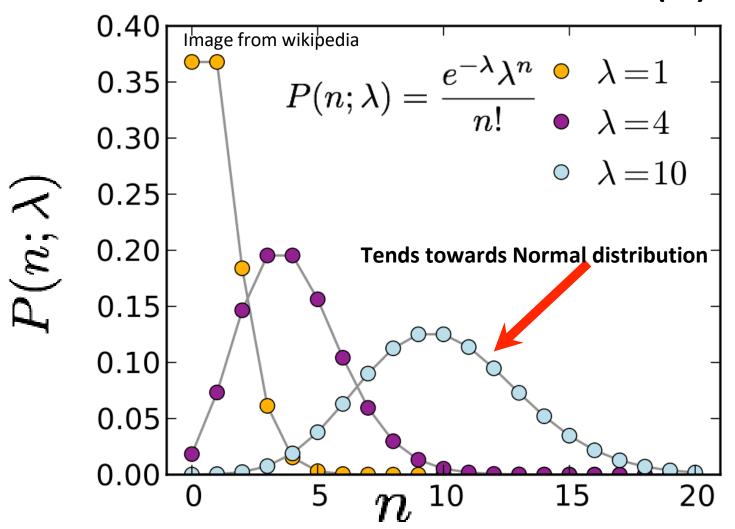
- these events occur with a known average rate  $\lambda$ , and
- the arrival of one event is independent of the time since the last event

#### Properties:

- the mean (average) of  $P(k,\lambda)$  is  $\lambda$ .
- the standard deviation of  $P(k,\lambda)$  is  $\sqrt{\lambda}$ .



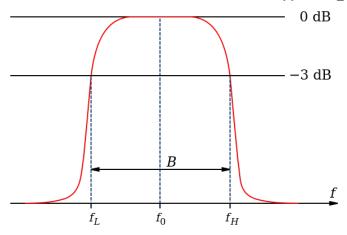
### Poissonian Distribution (2)



Example: fluctuations in the detected photon flux between time intervals  $\Delta t_i$ . Detected are k photons, while expected are, on average,  $\lambda$  photons.

### Noise Bandwidth

White noise has a wide frequency range, which we associate with an equivalent noise bandwidth B or  $\Delta f = f_H - f_L$ .



For a system – like our detector – with exponential response  $U^{\sim}e^{-t/\tau}$ ,

we get 
$$\Delta f = \frac{1}{4\tau}$$

According to the Shannon-Nyquist theorem, an output bandwidth of one hertz is equivalent to half a second of integration.

$$\rightarrow$$
 signal integrated over time  $\Delta t_{int}$ :  $\Delta f$ 

$$\Delta f = \frac{1}{2\Delta t_{\rm int}}$$

# The Main Sources of Detector Noise

### The G-R Noise Current

Photoconductor absorbs N photons:  $N = \eta \varphi \Delta t$ 

 $\rightarrow$  create N conduction electrons and N holes (but consider only e<sup>-</sup> since  $\mu_{e^-} \gg \mu_p$ ) Randomly generated e<sup>-</sup> <u>and</u> randomly recombined e<sup>-</sup>  $\rightarrow$  two random processes Hence: RMS noise  $\sim (2N)^{1/2}$ .

Now calculate the associated noise current:  $\left\langle I_{G-R}^2 \right\rangle^{1/2} = \frac{q\sqrt{2NG}}{\Delta t}$ 

With the mean photo-current  $I_{ph} = \eta q \varphi G$ 

one gets: 
$$\left\langle I_{G-R}^2 \right\rangle = \frac{q^2(2N)G^2}{\left(\Delta t\right)^2} = \left(\frac{2q}{\Delta t}\right)\left(\frac{qNG}{\Delta t}\right)G = \left(\frac{2q}{\Delta t}\right)\left\langle I_{ph}\right\rangle G$$

The noise current  $\langle l^2_{G-R} \rangle$  can now be rewritten as:

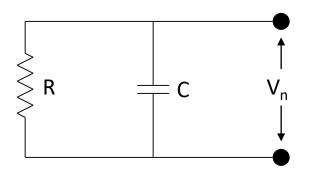
$$\left\langle I_{G-R}^{2} \right\rangle = \left(\frac{2q}{\Delta t}\right) \left\langle I_{ph} \right\rangle G = \left(2q2\Delta f\right) \left\langle \varphi q \eta G \right\rangle G = 4q^{2} \varphi \eta G^{2} \Delta f$$

$$21-2-2020 \qquad \Delta f = \frac{1}{2\Delta t} \qquad I_{ph} = \frac{\eta \lambda q G}{hc} P_{ph} = \eta q \varphi G$$

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## Johnson (or kTC) Noise (1)

Consider a detector pixel as an RC circuit:



The energy stored in the capacitor is  $E_{st} = \frac{1}{2}CV^2$ .

This system has *one* degree of freedom:  $V_n$ . Fluctuations in  $V_n$  are associated with an average energy of  $\frac{1}{2}kT$ :

$$\frac{1}{2}C\langle V_n^2\rangle = \frac{1}{2}kT$$

These fluctuations in  $E_{st}$  result in a Johnson noise current  $I_J$ .

The charge on the capacitor is  $Q = CV \rightarrow \langle Q^2 \rangle = C^2V^2 = kTC$ Hence, this noise is also called kTC noise or reset noise.

## Johnson (or kTC) Noise (2)

The power in  $I_1$  can thermodynamically also be expressed as:

$$\langle P \rangle t = \frac{1}{2}kT$$
 with time constant  $\tau = t = RC$ 

and 
$$P = U \cdot I = R \cdot I^2 \xrightarrow{1 \text{ dof}} \langle P \rangle = \frac{1}{2} \langle I^2 \rangle R$$

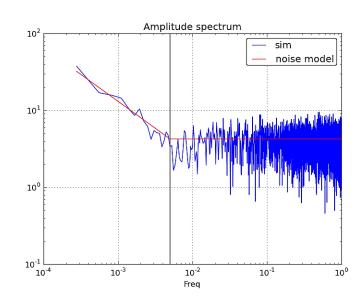
Hence, 
$$\langle I_J^2 \rangle = \frac{2\langle P \rangle}{R} = \frac{2\frac{1}{2}kT}{Rt} \stackrel{\Delta f = 1/4\tau}{=} \frac{4kT}{R} \Delta f$$

The Johnson (or *kTC*) noise is a fundamental thermodynamic noise, due to the thermal (Brownian) motion of the charge carriers.

## 1/f Noise

Most electronic devices have increased noise at low frequencies, often dominating the system performance.

However, there is no general physical understanding of it.



Empirically, 
$$\left\langle I_{1/f}^2 \right\rangle \propto \frac{I^a}{f^b} \Delta f$$
 where  $a \approx 2, b \approx 1$ .

May be caused by bad electrical contacts, temperature fluctuations, surface effects (damage), crystal defects, and junction field effect transistors (JFETs), etc.

This type of noise is empirically termed 1/f noise.

# Noise Sources in Comparison

### **Total Noise**

the G-R or noise current 
$$\left\langle I_{G-R}^2 \right\rangle = 4q^2 \varphi \eta G^2 \Delta f$$

the Johnson noise 
$$\left\langle I_{J}^{2}\right\rangle =\frac{4kT}{R}\Delta f$$

the 1/f noise 
$$\left\langle I_{1/f}^2 \right\rangle \propto \frac{I^a}{f^b} \Delta f$$

Note that <u>all</u> processes depend on the bandwidth  $\Delta f = 1/(2\Delta t_{int})$ 

If the signal is Poisson distributed in time, the relative error of the measurement is proportional to  $1/\sqrt{t}$  or  $(\Delta f)^{\frac{1}{2}}$  (longer  $t_{int}$  means smaller bandwidth, which means smaller relative errors)

The total noise in the system is 
$$\langle I_N^2 \rangle = \langle I_{G-R}^2 \rangle + \langle I_J^2 \rangle + \langle I_{1/f}^2 \rangle$$

### Background-limited Performance

Ideally, we want the detector sensitivity not being limited by technical factors, but by processes in nature (i.e., nothing we can do about).

That implies: 
$$\left\langle I_{G-R}^2 \right\rangle >> \left\langle I_J^2 \right\rangle + \left\langle I_{1/f}^2 \right\rangle$$

This condition is called background-limited performance (BLIP)

#### BLIP has significant impact on...:

- Detector design: e.g., MIR detectors for ground or space? ( $\rightarrow I_{dark}$ )
- Instrument design: e.g., pixel scale (→ oversampling)
- Observation planning: e.g., exposure time (→ long or short?)

### Noise Equivalent Flux Density (NEFD)

The noise equivalent flux density (NEFD) is the flux density that yields an RMS S/N of unity in a system of  $\Delta f = 1$  Hz.

$$NEFD = \frac{E_S \sqrt{2\Delta t_{\text{int}}}}{S/N}$$

...where  $E_s$  [W m<sup>-2</sup> Hz<sup>-1</sup>] is the measured flux density.

The NEFD usually refers to the entire system performance, including the camera optics.

### Noise Equivalent Power (NEP)

The noise equivalent power (NEP) is the signal power that yields an RMS S/N of unity in a system of  $\Delta f = 1$  Hz.

$$\frac{S}{N} = \frac{P_s}{\text{NEP}(df)^{1/2}} \stackrel{\downarrow}{=} \frac{P_s(2\Delta t_{int})^{1/2}}{\text{NEP}} \longrightarrow NEP = \frac{P_s\sqrt{2\Delta t_{int}}}{S/N}$$

An equivalent, more practical definition is:

$$NEP = \frac{I_N}{S}$$

...where  $I_N$  [W Hz<sup>-1/2</sup>] is the total noise current in the system, and S [A W<sup>-1</sup>] is the responsivity.

### NEP for BLIP ⇔ kTC

(1) BLIP: 
$$\left\langle I_{G-R}^2 \right\rangle >> \left\langle I_J^2 \right\rangle + \left\langle I_{1/f}^2 \right\rangle$$

With  $S=Grac{q\eta\lambda}{hc}$  and  $\langle I_{G-R}^2 \rangle = 4q^2 arphi \eta G^2 \Delta f$  one gets:

$$NEP_{G-R} = \frac{I_{G-R}}{S} = \frac{(4q^2\varphi\eta G^2)^{1/2}hc}{Gq\eta\lambda} = \frac{2hc}{\lambda} \left(\frac{\varphi}{\eta}\right)^{1/2}$$

(The factor of  $\Delta f$  disappears from  $\langle I^2_{G-R} \rangle$  as we use a "normalized" noise current in units of [A Hz<sup>-1</sup>].)

(2) kTC: 
$$\langle I_J^2 \rangle >> \langle I_{G-R}^2 \rangle + \langle I_{1/f}^2 \rangle$$

With  $S=Grac{q\eta\lambda}{hc}$  and  $\langle I_J^2 
angle = rac{4kT\Delta f}{R}$  one gets:

$$NEP_{J} = \frac{I_{J}}{S} = \frac{(4kT)^{1/2}hc}{R^{1/2}Gq\eta\lambda} = \frac{2hc}{Gq\eta\lambda} \left(\frac{kT}{R}\right)^{1/2}$$

Here, the NEP can be improved by increasing  $\eta$ , G, R or reducing T.